

?show files;ds

File 9:Business & Industry(R) Jul/1994-2002/Oct 17
 (c) 2002 Resp. DB Svcs.
 File 20:Dialog Global Reporter 1997-2002/Oct 18
 (c) 2002 The Dialog Corp.
 File 476:Financial Times Fulltext 1982-2002/Oct 18
 (c) 2002 Financial Times Ltd
 File 610:Business Wire 1999-2002/Oct 18
 (c) 2002 Business Wire.
 File 624:McGraw-Hill Publications 1985-2002/Oct 17
 (c) 2002 McGraw-Hill Co. Inc
 File 634:San Jose Mercury Jun 1985-2002/Oct 17
 (c) 2002 San Jose Mercury News
 File 636:Gale Group Newsletter DB(TM) 1987-2002/Oct 18
 (c) 2002 The Gale Group
 File 810:Business Wire 1986-1999/Feb 28
 (c) 1999 Business Wire
 File 813:PR Newswire 1987-1999/Apr 30
 (c) 1999 PR Newswire Association Inc

Set	Items	Description
S1	14756	(ENTER? OR INPUT? OR INSERT? OR TYPING OR RECORDING OR SCAN- N? OR FILING) (6N) (TRADE OR TRADES OR EXCHANGE OR EXCHANGES OR BUY(3N)SELL OR AUCTION) (6N) (DATA OR INFORMATION OR TRANSACTIO- N?)
S2	1796334	SERVER? OR DATABASE? OR DATA()BASE? OR DATA()STORAGE? OR A- RCHIVE? OR WAREHOUSE? OR WARE()HOUSE OR DISK? ? OR DISC? ? OR MAINFRAME? OR MAIN()FRAME?
S3	23935	(DATA OR INFORMATION OR TRANSACTION) (2W) (FILE OR FILES)
S4	131972	(MONITOR? OR TRACK? OR TRACE? OR TRACING? OR WATCH? OR DET- ECT? OR MIRROR? OR COPY?) (6N) (EDIT? OR MODIF? OR CHANGES OR U- PDATE OR UPDATES OR ADDITION? ? OR (ADDED OR NEW) () (DATA OR I- NFORMATION))
S5	317185	(REPORT? OR LOG? OR DOCUMENT? OR PAPER()TRAIL? OR SUMMARIS? OR SUMMARIZ? OR SUMMARY OR JOURNAL?) (6N) (EDIT? OR MODIF? OR - CHANGES OR UPDATE OR UPDATES OR ADDITION? ? OR (ADDED OR NEW) - () (DATA OR INFORMATION))
S6	1125	(RECORDING OR RECORD OR TRACK? OR MONITOR? OR TRACE? OR TR- ACING) (6N) (TRADE OR TRADES) (6N) (RECORDS OR ACTIVITY OR ACTIVI- TIES OR TRANSACTION? ?)
S7	0	S1(S) S2(S) S3(S) S4(S) S5
S8	1	S1(S) S4(S) S5
S9	5	S5(S) S6
S10	15	S6(S) AUDIT?
S11	9	S4(S) S6
S12	28	S7:S11
S13	17	S12 NOT PY>2000
S14	14	RD (unique items)

?t14/3,k/all

14/3,K/1 (Item 1 from file: 9)

DIALOG(R)File 9:Business & Industry(R)
 (c) 2002 Resp. DB Svcs. All rts. reserv.

02498578 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Charlotte, N.C., Start-Up Automates Complex Financial Deals

(Derivatives Net Inc launches \$14 mil automated electronic trading system
 for derivatives that could save banks 50-75% on commissions)

Charlotte Observer , p N/A

June 18, 1999

DOCUMENT TYPE: Regional Newspaper (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 729

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...and save traders millions of dollars in commissions. Derivatives Net makes money by charging a **transaction** fee.

It also offers something voice brokers do not: automated order and **trade tracking**. "Because our system is all electronic, it allows **audit** trails," May said.

Dorsch said DNI had discussed Blackbird with federal regulators, who raised no...

14/3,K/2 (Item 1 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter
(c) 2002 The Dialog Corp. All rts. reserv.

13174954 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Corporate Profile for eGreenCoffee, dated Oct. 6, 2000
BUSINESS WIRE

October 06, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 268

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... also a single source for industry information, including futures quotes, detailed information on physicals prices, **transaction tracking**, weather **updates**, market alerts and news, coffee encyclopedia, inspection, insurance, **trade** financing, logistics services and links to coffee service providers. After successfully establishing the green coffee...

14/3,K/3 (Item 2 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter
(c) 2002 The Dialog Corp. All rts. reserv.

12813838 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Christie Group PLC - Interim Results
REGULATORY NEWS SERVICE

September 13, 2000

JOURNAL CODE: WRNS LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1858

... but suffered considerable disruption as a result. Since early summer, Venners has been trading at **record** levels of licensed **trade activity**. In addition to our strong licensed stocktaking business, we continue to gain retail clients, which...

14/3,K/4 (Item 3 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter
(c) 2002 The Dialog Corp. All rts. reserv.

11604957 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Currenex Adds Foreign Exchange Options Trading to Web Service
PR NEWSWIRE

June 21, 2000

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 609

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... from existing systems -- Simultaneous FX competitive bids from multiple banks -- Execution and confirmation of FX transactions -- Monitoring of FX trades through sophisticated trade and audit reports

Currenex is on track to handle \$1 trillion in foreign exchange transactions in 2000. Among the leading corporations currently using the service are MasterCard International, Intel Corporation...

14/3,K/5 (Item 4 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

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10490630 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Liquid Audio Extends Internet Music Solutions to Production and Broadcast Professionals Worldwide

PR NEWSWIRE

April 10, 2000

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 703

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... releases to provide radio programmers of all market sizes with the most convenient means of auditioning music for airplay consideration.

Voicebank.net (www.voicebank.net) is the new standard in voice...

14/3,K/6 (Item 5 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

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10367681 (USE FORMAT 7 OR 9 FOR FULLTEXT)

'Beatles' join hands once again

TIMES OF INDIA

April 03, 2000

JOURNAL CODE: WTIN LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 683

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... trio, the Los Angeles Times reported Saturday.

Lon Helton, country music editor for Radio and Records, said about 20 of 149 country stations that the trade newspaper tracks are still not playing it. "My question is, what do we do a song about..."

14/3,K/7 (Item 6 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

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09911399

PUTIN AUDITS THE FUEL AND ENERGY SECTOR

WHAT THE PAPERS SAY, p11

March 06, 2000

JOURNAL CODE: WWTP LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 112

... certain measures in this direction would be taken after "the fuel and energy sector is audited". Putin said that such steps would be taken as part of the united energy strategy...

14/3,K/8 (Item 7 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2002 The Dialog Corp. All rts. reserv.

08600866 (USE FORMAT 7 OR 9 FOR FULLTEXT)
VantagePoint Network(R) Launches Online Crop Recordkeeping System
PR NEWSWIRE
December 07, 1999
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 874

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... News Reports, Brock Market Commentaries, AccuWeather local forecasts, and national radar and satellite maps. In **addition**, commodity price **activities** can be **monitored** for the Chicago Board of **Trade**, the Chicago Mercantile Exchange, the Kansas City Board of Trade and 12 other exchanges.

VantagePoint...

14/3,K/9 (Item 1 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications
(c) 2002 McGraw-Hill Co. Inc. All rts. reserv.

0301073
Senate Approval of CFTC Bill May Mean New Fees
Platts Oilgram Price Report April 22, 1991; Pg 1; Vol. 69, No. 77
Journal Code: POP ISSN: 0162-1292
Dateline: New York
Word Count: 228 *Full text available in Formats 5, 7 and 9*

TEXT:

... develop technology to record trades automatically within one minute of completion, in order to make **audit** trails easier to follow. The exchanges would be given three years to develop and implement...

... frequently cited to meet such an obligation would be a hand-held electronic device to **record trades**, as opposed to the current method of written **records**.

The bill also would mandate a service fee to be levied on exchanges, whose boards...

14/3,K/10 (Item 1 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2002 The Gale Group. All rts. reserv.

01867350 Supplier Number: 43213034 (USE FORMAT 7 FOR FULLTEXT)
NYMEX uses computer to keep tabs on traders
Gas Daily, pN/A
August 7, 1992
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 602

... prosecute suspected violators.

The Chicago commodities exchanges are pushing Congress to mandate handheld computers to **record trades**. If **records** are automated in this way, Wolkoff said it promises to be the best available **audit** trail.

"It could be a deterrent (to illegal practices) or it could reveal more information...

14/3,K/11 (Item 2 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2002 The Gale Group. All rts. reserv.

01723055 Supplier Number: 42805057 (USE FORMAT 7 FOR FULLTEXT)
Chicago Futures Exchanges Begin Electronic Trading Test 03/02/92
Newsbytes, pN/A
March 2, 1992
Language: English Record Type: Fulltext
Document Type: Newswire; General Trade
Word Count: 425

... of the system at about \$6 million, and said it will provide a tamper-proof **audit** trail, **recording** each **transaction** within 500 milliseconds. The Commodity Futures Trading Commission (CFTC) requires that **trades** be recorded within 60 seconds.

A CFTC spokesperson said the commission is pleased with the...

14/3,K/12 (Item 3 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2002 The Gale Group. All rts. reserv.

01207905 Supplier Number: 41170354 (USE FORMAT 7 FOR FULLTEXT)
CBOT AND CME TO PROCURE HAND-HELD AUDIT DEVICE
Trading Systems Technology, v3, n15, pN/A
Feb 12, 1990
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 868

... designed by a time-motion expert, assigns each futures transaction a time.

Double Protection

The **AUDIT** system is an attempt to get the timing of the trade reporting function to coincide...

...action in the pits. Both sides to a trade will be required to input the **trade** information immediately after execution. If one side fails to **record** the **trade** promptly, the **transaction** will be flagged and examined by exchange staff members.

Glen Belden, vice president of information...

14/3,K/13 (Item 1 from file: 810)
DIALOG(R)File 810:Business Wire
(c) 1999 Business Wire . All rts. reserv.

0554674 BW0296

THE DELAHAYE GROUP: President Clinton receives analysis of his Internet image

February 05, 1996

Byline: Business/Technology Editors

...the first companies in the world to offer Internet and World Wide Web measurement. In addition

Search Report from Ginger D. Roberts

to **tracking** Internet **activity** , The Delahaye Group also evaluates sponsorships, **trade** shows, internal communications, international communications and public relations programs.

Katharine Paine will discuss the president...

14/3,K/14 (Item 2 from file: 810)
DIALOG(R)File 810:Business Wire
(c) 1999 Business Wire . All rts. reserv.

0431025 BW1085

NATIONAL TRUST: National Trust selects PORTIA portfolio management system

September 20, 1994

Byline: Business Editors

...System use will be divided equally among portfolio managers, traders, and administrators.

PORTIA's Order **Tracking** System will be used to produce **trade** order tickets, **changes** , and cancellations, while the **Report** Writer will produce client statements, **records** of account **activity** , confirmations of **trades** , and performance reports, as well as other key client communications. "AIMR standard reporting is increasingly...
?

Search Report from Ginger D. Roberts

?show files;ds

File 2:INSPEC 1969-2002/Oct W2
 (c) 2002 Institution of Electrical Engineers
 File 35:Dissertation Abs Online 1861-2002/Sep
 (c) 2002 ProQuest Info&Learning
 File 65:Inside Conferences 1993-2002/Oct W2
 (c) 2002 BLDSC all rts. reserv.
 File 99:Wilson Appl. Sci & Tech Abs 1983-2002/Sep
 (c) 2002 The HW Wilson Co.
 File 233:Internet & Personal Comp. Abs. 1981-2002/Oct
 (c) 2002 Info. Today Inc.
 File 256:SoftBase:Reviews,Companies&Prods. 82-2002/Sep
 (c)2002 Info.Sources Inc
 File 474:New York Times Abs 1969-2002/Oct 17
 (c) 2002 The New York Times
 File 475:Wall Street Journal Abs 1973-2002/Oct 17
 (c) 2002 The New York Times
 File 583:Gale Group Globalbase(TM) 1986-2002/Oct 17
 (c) 2002 The Gale Group

Set	Items	Description
S1	861	(ENTER? OR INPUT? OR INSERT? OR TYPING OR RECORDING OR SCAN- N? OR FILING) (6N) (TRADE OR TRADES OR EXCHANGE OR EXCHANGES OR BUY(3N)SELL OR AUCTION) (6N) (DATA OR INFORMATION OR TRANSACTION- N?)
S2	587076	SERVER? OR DATABASE? OR DATA()BASE? OR DATA()STORAGE? OR A- RCHIVE? OR WAREHOUSE? OR WARE()HOUSE OR DISK? ? OR DISC? ? OR MAINFRAME? OR MAIN()FRAME?
S3	9591	(DATA OR INFORMATION OR TRANSACTION) (2W) (FILE OR FILES)
S4	35606	(MONITOR? OR TRACK? OR TRACE? OR TRACING? OR WATCH? OR DET- ECT? OR MIRROR? OR COPY?) (6N) (EDIT? OR MODIF? OR CHANGES OR U- PDATE OR UPDATES OR ADDITION? ? OR (ADDED OR NEW) () (DATA OR I- NFORMATION))
S5	37844	(REPORT? OR LOG? OR DOCUMENT? OR PAPER()TRAIL? OR SUMMARIS? OR SUMMARIZ? OR SUMMARY OR JOURNAL?) (6N) (EDIT? OR MODIF? OR - CHANGES OR UPDATE OR UPDATES OR ADDITION? ? OR (ADDED OR NEW) - () (DATA OR INFORMATION))
S6	67	(RECORDING OR RECORD OR TRACK? OR MONITOR? OR TRACE? OR TR- ACING) (6N) (TRADE OR TRADES) (6N) (RECORDS OR ACTIVITY OR ACTIVI- TIES OR TRANSACTION? ?)
S7	0	S1(S)S2(S)S3(S)S4(S)S5
S8	0	S1(S)S4(S)S5
S9	0	S5(S)S6
S10	1	S6(S)AUDIT?
S11	0	S4(S)S6
S12	1	S7:S11
S13	1	S12 NOT PY>2000
S14	1	RD (unique items)
S15	0	S1 AND S2 AND S3 AND S4 AND S5
S16	0	S1 AND S4 AND S5
S17	0	S5 AND S6
S18	2	S6 AND AUDIT?
S19	0	S4 AND S6
S20	2	S14 OR S18
S21	2	RD (unique items)

?t21/7/all

21/7/1 (Item 1 from file: 233)

DIALOG(R)File 233:Internet & Personal Comp. Abs.
 (c) 2002 Info. Today Inc. All rts. reserv.

00238316 91IW04-331

Wireless adds its voice to 'open outcry' at futures exchanges

October 18, 2002 1 15:58

Search Report from Ginger D. Roberts

Fickel, Loiuse

InfoWorld , April 22, 1991 , v13 n16 p31, 1 Pages

ISSN: 0199-6649

Reports that the Board of Trade and the Mercantile Exchange in Chicago will begin using hand-held devices and wireless technology to record their trades in an effort to offer a secure audit record of all transactions and traders. Says that the exchanges will not replace "open outcry" where traders shout and use hand signals. Adds that a product will be chosen from three vendors: Spectrix in conjunction with Panasonic, Texas Instruments in conjunction with Omnipoint Data, and Synerdyne in conjunction with Seiko. Includes one photo. (jb)

21/7/2 (Item 1 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

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04770057

CFTC EXTENDS COMPLIANCE PERIOD FOR AUDIT RULE

KARR, ALBERT R

Wall Street Journal, Col. 3, Pg. 44, Sec. 1

Wednesday September 10 1986

ABSTRACT:

Commodity Futures Trading Commission gives nine commodity exchanges as many as nine more months to comply with its new rule requiring recording of pit transactions within one minute of trades (M)
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